

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kendra Gallup, et al.

Serial No.: 10/666,442

Examiner: Jerome Jackson, Jr.

Filing Date: September 19, 2003

Group Art Unit: 2815

Title: INTEGRATED OPTICS AND ELECTRONICS

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with **either** a:  
☐ Statement under CFR 1.97(e), or  
☐ \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a  
Statement under 37 CFR 1.97(e), **and**  
\$180.00 fee as set forth in 37 CFR 1.17(p).  
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (\*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ FEE AUTHORIZATION

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

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<sup>e-filed</sup>  
Date of Facsimile: May 22, 2007

Typed Name: Rhonda M. Smyth

Signature: Rhonda M. Smyth

Respectfully submitted,

Kendra Gallup, et al.

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<b>Form PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTORNEY DOCKET NO.</b> 10030566-1	<b>SERIAL NO.</b> 10/666,442
	<b>APPLICANT</b> Kendra J. Gallup, et al	
	<b>FILING DATE</b> September 19, 2003	<b>GROUP</b> 2815

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		5,485,021	January 16, 1996	Takao Abe
		6,422,766	July 23, 2002	Althaus, et al.
		5,513,289	April 30, 1996	Hosokawa, et al.

**FOREIGN PATENT DOCUMENT**

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO	
		EP0366974A1	07.10.89	Telefunken Systemtechnik		
		DE4440935A1	23.5.96	ANT Nachrichtentechnik GmbH		

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	German Office Action dated November 2, 2006 ; involving counterpart German Patent Application No. 10 2004 028 117.3-54
	English translation of German Office Action dated November 2, 2006; involving counterpart German Patent Application No. 10 2004 028 117.3-54
	German Office Action dated March 20, 2007; involving counterpart German Patent Application No. 10 2004 028 117.3-54 and; English translation of German Office Action dated March 20, 2007; involving German Patent Application No. 10 2004 028 117.3-54

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)